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Receipt



Attorney's Docket No. 45106/244246

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re: LI Confirmation No.: 1943  
Appl. No.: 10/082,371 Group Art Unit: 2161  
Filed: February 25, 2002  
For: METHOD FOR IMPLEMENTING SECURE  
TRANSACTION FOR ELECTRONIC  
DEPOSIT (PURSE)

July 8, 2002

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

**SECOND REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

In reviewing the Filing Receipt for the above referenced application, Applicant notes that an error appears as noted in red on the enclosed copy of the Filing Receipt. Specifically, the application no. PCT/CN00/00124, should read PCT/CN99/00124. In particular, please review the attached copy of the filed Declaration which shows that the priority application is PCT/CN99/00124. Applicant requests that a corrected Filing Receipt be issued.

Respectfully submitted,

Andrew T. Meunier  
Registration No. 40,726

Customer No. 00826  
ALSTON & BIRD LLP  
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ATL01/11233643v1

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### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, DC 20231, on July 8, 2002.

*Barbara Yates*

Barbara Yates

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COMMISSIONER FOR PATENTS  
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www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO. | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|------------------|----------|------------|------------|
| 10/082,371         | 02/25/2002  | 3624         | 370           | 45106/244246     | 3        | 12         | 1          |

CONFIRMATION NO. 1943

REPLACEMENT FILING RECEIPT



\*0C000000008337655\*

00826  
ALSTON & BIRD LLP  
BANK OF AMERICA PLAZA  
101 SOUTH TRYON STREET, SUITE 4000  
CHARLOTTE, NC 28280-4000

Date Mailed: 06/24/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Dongsheng Li, Beijing, CHINA;

Domestic Priority data as claimed by applicant

99

THIS APPLICATION IS A CON OF PCT/CN99/00124 08/23/1999

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**Foreign Applications**

If Required, Foreign Filing License Granted 03/25/2002

Projected Publication Date: 07/04/2002

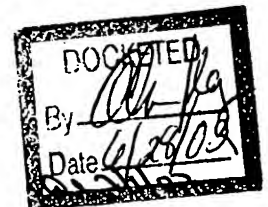
Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

**Title**

Method for implementing secure transation for electronic deposit (PURSE)



Preliminary Class  
705

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).



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Attorney Docket No. 45106/244246

**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

(Foreign Agent Involved)

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**METHOD FOR IMPLEMENTING SECURE TRANSATION**  
**FOR ELECTRONIC DEPOSIT (PURSE),**

the specification of which is attached hereto unless the following box is checked:

☐ was filed on \_\_\_\_\_ as United States Application Number or PCT International

Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

**Prior Foreign Application(s)**

|          |           |                        |
|----------|-----------|------------------------|
| _____    | _____     | _____                  |
| (Number) | (Country) | (Day/Month/Year Filed) |
| _____    | _____     | _____                  |
| (Number) | (Country) | (Day/Month/Year Filed) |

**Priority Claimed**

|                          |                          |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |
| Yes                      | No                       |
| <input type="checkbox"/> | <input type="checkbox"/> |
| Yes                      | No                       |

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I hereby claim the benefits under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

|          |               |
|----------|---------------|
| _____    | _____         |
| (Number) | (Filing Date) |
| _____    | _____         |
| (Number) | (Filing Date) |

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C., § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

|                       |                   |                                   |
|-----------------------|-------------------|-----------------------------------|
| <u>PCT/CN99/00124</u> | <u>08/23/1999</u> | <u>pending</u>                    |
| (Appln. Serial No.)   | (Filing Date)     | (Status --patented/pending/aban.) |
| _____                 | _____             | _____                             |
| (Appln. Serial No.)   | (Filing Date)     | (Status --patented/pending/aban.) |

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from my Chinese representatives, DEQI Intellectual Property Law Corporation, as to any action to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

I hereby appoint the practitioners associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to that Customer Number:

**Customer Number 000826**

|  |
|--|
| DIRECT ALL TELEPHONE CALLS TO: Melissa B. Pendleton<br>Registration No. 35,459<br>Tel Charlotte Office (704) 444-1000<br>Fax Charlotte Office (704) 444-1111 |
|--|

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment,

or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first/sole inventor: Dongsheng LI

Inventor's Signature: LI, Dongsheng Date: Feb, 22, 2002

Residence: Beijing, China

Citizenship: Chinese

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